

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Li MING, et al.

SERIAL NUMBER: NEW U.S. PCT APPLICATION(BASED ON PCT/US98/01749)

FILED: HEREWITH

FOR: POWER TRANSFORMER/REACTOR AND A METHOD OF ADAPTING A HIGH VOLTAGE CABLE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant(s) wish to disclose the following information.

REFERENCES

■ The applicant(s) wish to make of record the references listed on the attached PTO Form 1449, as filed in "holding application" Serial No. 09/147,325 filed February 17, 1999, which contains subject matter related to that disclosed in the subject application and other bulk filing applications. Three copies of each of the listed references were provided to the Patent Office consistent with the requirements in the attached Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98.

☐ A check is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

☐ Attached is a list of applicant's pending applications or issued patents which are thought to be related to the present application.

☐ A check is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAJER & NEUSTADT, P.C.



Gregory J. Maier
Registration No. 25,599

WILLIAM E. BEAUMONT
REGISTRATION NUMBER 30,996

Bradley D. Lytle
Registration No. 40,073

Fourth Floor
1755 Jefferson Davis Highway
Arlington, Virginia 22202
Tel. 703 413-3000
Fax. 703-413-2220

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-144
(Corrected Listing of Original List)

Corrected 03/20/00

Docket Number:

9847-0001-6X PCT

Applicant(s):
MATS LEIJON ET AL

Filing Date:
FEBRUARY 17, 1999

09/508683
Application Number

09/147,325

28 MAR 2000

Group Art Unit:
2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
	4	US1728915	9/24/29	E. P. Blankenship et al			
	5	US1742985	1/7/30	L. H. Burnham			
	6	US1747507	2/18/30	Robert B. George			
	7	US1756672	4/29/30	John M. Barr			
	8	US1762775	6/10/30	Albert G. Ganz			
	9	US1781308	11/11/30	Mauritz Vos			
	10	US1861182	5/31/32	F. Hendey et al			
	11	US1974406	9/25/34	Vincent G. Apple et al			
	12	US2006170	6/25/35	Gustof A. Juhlin			
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Examiner

Date
Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION LIST

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Examiner

Date

Considered

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INFORMATION DISCLOSURE CITATION LIST

ALTERNATE FORM PTO-1449

Corrected Listing of Original Listing

09/508683

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248	US5545853	8/13/96	N. Hildreth
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Examiner

Date

Considered

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Subtotal	259						

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					YES	NO
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	2	BE565063	2/23/57	Belgium		
	3	CH391071	4/30/65	Switzerland		
	4	CH534448	2/28/73	Switzerland		
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	40	OD041	Transformer core losses; B. Richardson; Proc. IEEE 5/1986, pp365-368
	41	OD042	Cloth-transformer with divided windings and tension annealed amorphous wire; T. Yamamoto et al; IEEE Translation Journal on Magnetics in Japan Vol 4, No. 9 Sept. 1989
	42	OD043	A study of equipment sizes and constraints for a unified power flow controller; J. Bian et al; IEEE 1996
Subtotal	43		

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Examiner

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*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

09/508683

INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449				Docket Number: 9847-0004-6X PCT'd PCT/PTO		Application Number 09/147,325	
Issue 2: dated 02/21/00				Applicant(s): MATS LEIJON ET AL		28 MAR 2000	
				Filing Date: FEBRUARY 17, 1999		Group Art Unit: 2834	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

09/508683

Issue2: dated 02/21/00

1/15/2000 PCT/PTO

28 MAR 2000

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
	2	GB 1,322,433	7/4/1973	Siemens Akstengesellschaft		
	3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
	4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
	5	WO 98/20602	5/14/1998	Soren Berggren		
	6	WO 98/34239	8/6/1998	Gunnar Stenorpstgatan et al		
	7	WO 99/28922	6/10/1999	Thorsten Schutte et al		
	8	WO 99/29005	6/10/1999	Mats Leijon et al		
	9	WO 99/29023	6/10/1999	Peter Carstensen et al		
	10	WO 99/29025	6/10/1999	Mats Leijon et al		
	11	EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt		
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

09/508683

Issue2: dated 02/21/00

410 Rec'd PCT/PTO 28 MAR 2000

OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

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Examiner

Date
Considered

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